

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



Publication number:

**0 623 471 A2**

12

## EUROPEAN PATENT APPLICATION

Application number: 94106674.8

Int. Cl.<sup>5</sup>: **B41J 2/175**

Date of filing: 28.04.94

Priority: 03.05.93 US 56961

Date of publication of application:  
09.11.94 Bulletin 94/45

Designated Contracting States:  
**DE FR GB IT**

Applicant: **Hewlett-Packard Company**  
3000 Hanover Street  
Palo Alto, California 94304 (US)

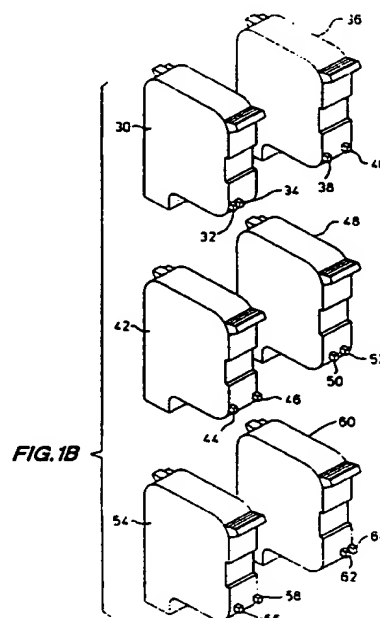
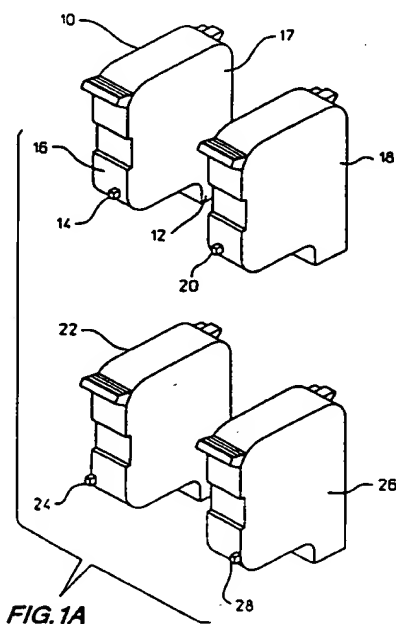
Inventor: **Thoman, Jeffrey A.**  
6715 NW Concord DR  
Corvallis, OR 97330-4514 (US)  
Inventor: **Gragg, Brian D.**  
12111 Ragweed Street  
San Diego, Ca. 92129 (US)

Representative: **Liesegang, Roland, Dr.-Ing.**  
**FORRESTER & BOEHMERT**  
Franz-Joseph-Strasse 38  
D-80801 München (DE)

Method and device for preventing unintended use of print cartridges.

A first level carriage lockout scheme employs one set of cartridge tabs (14, 20, 24, 28) to differentiate print cartridges (17, 18, 22, 26) from each other for a first family of print cartridges, and another first level carriage lockout scheme employs another set of cartridge tabs (32, 34, 38, 40; 44, 46; 50, 52; 56, 58;

62, 64) to differentiate print cartridges (30, 36, 42, 48, 54, 60) from each other for a second family of print cartridges. A second level carriage lockout employs cartridge wings (70, 72) to differentiate between different families of print cartridges.



EP 0 623 471 A2

## TECHNICAL FIELD

This invention relates generally to print cartridges which are removably mounted in a printer carriage, and more specifically to schemes for preventing a user from mounting the wrong print cartridge in a printer carriage. The terms "pen" and "print cartridge" are used synonymously and interchangeably herein.

## BACKGROUND OF THE THE INVENTION

In the past, the typical way of indicating to the user the type and color of a print cartridge to be used in printers such as inkjet printers having removable print cartridges was to color code this information on the outside of the print cartridge housing. This approach had the disadvantage of providing no positive feedback to the user, and furthermore it would not prevent improper use for those print cartridges and printer carriages which were otherwise configured for proper mating of the print cartridge into the printer carriage. This use of print cartridges in printer carriages for which they were not intended can result in undesirable print quality and possible damage to both the print cartridge and the printer in which it is used.

## BRIEF SUMMARY OF THE INVENTION

The general purpose and principal object of the present invention is to provide a new and improved method for preventing insertion of a print cartridge into a printer carriage compartment for which it was not intended, and further having mechanical and visual feedback to the end user that absolutely prevents the wrong inkjet pen or print cartridge from being inserted into the wrong printer carriage or wrong carriage compartment.

Another object of this invention is to provide a new and improved method of the type described wherein all configuration features on the print cartridge used for lockout purposes may be removed to produce a universal pen for all inkjet printers.

One implementation of this invention provides a print carriage adapted for holding multiple pen cartridges, wherein each carriage compartment includes one or more key-coded barriers at an entrance to the compartment as well as one or more key-coded barriers at the base of the printhead stall in the compartment, both type of barriers being sized and shaped to receive corresponding configuration features of the appropriate pen cartridge when the pen cartridge is manually inserted into one of the carriage compartments.

To accomplish the above purpose and objects, the present method includes the steps of providing first, second, and if desirable additional pen fam-

ilies with a first level lockout based on configurable tabs located at certain positions of the print cartridges to designate a print cartridge characteristic such as ink type, color, energy requirements, electrical interconnect, resolution, printhead, or the like, with the first pen family having a different tab configuration (size, shape, number, position, etc.) associated with the second pen family. Thus, for example, if a first pen family is identified by having a fewer number of tabs per print cartridge as compared to a second pen family, the second pen family is always locked out of carriage compartments key-coded for receiving the first pen family at a first lockout level, but all of the print cartridges in the first pen family may not be locked out of all second family compartments at the first lockout level. This first level lockout principle can similarly be applied to additional pen families.

The present method further includes providing the first pen family with a second level of lockout on the print cartridge sufficiently different in configuration from that of the second pen family so that none of the cartridges in the first pen family will be accepted by second family compartments key-coded at the second lockout level. This second level lockout principle can also similarly be applied to additional pen families.

In a preferred embodiment of the invention, the second level of lockout for the first pen family is provided by configuring a barrier floor in the bottom of a carriage compartment to extend different predetermined distances for each pen family. For example, by constructing a half floor in the second family compartments and then mating it for abutment with a pre-positioned wing on the first family print cartridges, the half floor will prevent complete insertion of all first family pens and thereby provide a second level of lockout in second family carriage compartments.

The above brief summary of the invention, together with its attendant objects and advantages, will become better understood with reference to the following description of the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a perspective view of four print cartridges for a first pen family showing four exemplary single tab configurations for a first level lockout scheme of the present invention;

FIG. 1B is a perspective view of six print cartridges for a second pen family showing six exemplary double tab configurations for a first level lockout;

FIG. 2A is an end view of all pen cartridges of the first pen family prior to being separately tab configured per FIGS. 1A and 3A, showing the

first family wing configuration for a second level lockout;

FIG. 2B is an end view of all pen cartridges of the second pen family prior to being separately tab configured per FIGS. 1B and 3B showing the second family wing configuration for a second level lockout;

FIG. 2C is an end view of all pen cartridges of the third pen family prior to being separately tab configured per FIG. 3C showing the third family wing configuration (i.e., no wing) for a second level lockout;

FIG. 3A is an abbreviated schematic diagram showing the tab positions for the first family print cartridges of FIG. 1A;

FIG. 3B is an abbreviated schematic diagram showing the tab positions for the second family print cartridges of FIG. 1B;

FIG. 3C is an abbreviated schematic diagram showing the tab positions for the third family print cartridges of FIG. 2C;

FIG. 4A schematically shows the carriage stall layout for a second level lockout scheme designed to receive only the first family pens;

FIG. 4B schematically shows the carriage stall layout for a second level lockout scheme designed to receive only the second family pens;

FIG. 4C schematically shows the carriage stall layout for a second level lockout scheme designed to receive only the third family pens;

FIG. 5 is a perspective view showing a preferred printer environment for the invention with a four cartridge pen carriage;

FIG. 6 is an enlarged perspective view showing three different color ink pens with the same identical first level lockout tabs mounted in the pen carriage of FIG. 5, and one black ink pen with different first level lockout tabs also mounted in the pen carriage;

FIG. 7 is a bottom view of the carriage of FIG. 6 with the pen cartridges removed, showing an implementation of the second level lockout scheme of FIG. 4B;

FIG. 8A is a schematic illustration of the initial print cartridge insertion into a carriage which can be prevented by a first level lockout scheme of the present invention; and

FIG. 8B is a schematic illustration of the final print cartridge insertion into a carriage which can be prevented by a second level lockout scheme of the present invention.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Referring now to FIG. 1A, there is shown a first family of pens, with each pen including a main print cartridge housing 10 having a printhead sec-

tion 12 and further including a single tab 14 positioned as shown at a third from left position on a lower front shoulder 16 of a first pen 17. A second pen 18 in the first family has a tab 20 located in a second position (from left to right), whereas a third pen 22 in the first family has a tab 24 located in the first position. Finally, pen 26 has its tab 28 located in a fourth and last position over on the right hand side of the pen.

Of course, the numbers of tabs is exemplary only, and may be varied in accordance with the number and types of pen that are being used.

Referring now to FIG. 1B, a first pen in a second family has two tabs 32, 34 positioned on the left hand side of the print cartridge housing, whereas a second pen 36 shows tabs 38, 40 located at first and third locations reading from left to right. A third pen 42 in the second family has tabs 44, 46 located at first and fourth positions, whereas a fourth pen 48 has its tabs 50, 52 located at second and third positions. A fifth pen 54 has tabs 56, 58 located at second and fourth positions, and finally, a sixth pen 60 has tabs 62, 64 located at third and fourth positions. Thus, each of the ten different tab positions represent ten different pen types for the first and second pen families.

Referring now to FIG. 2A-2C, these views correspond to the bottom surfaces of the pens which face the media to be printed and show different second level lockout wings for all pens in a particular family. FIGS. 3A-3C schematically show different first level lockout tabs for individual pens. It is seen that the first pen family is based on single tabs in four individual pen options, whereas the second family is based on double tabs in six individual pen options. It is also seen that the second family with double tabs is always locked out of the first family single tab carriage compartments at a first level, but there are certain situations where a first family single tab pen will not be locked out of the second family double tab carriage compartments at this first level. For example, tab 14 of pen "a" in first family will match up with second tab positions 40, 52, and 62 of pens f, h and j in second pen family and will thus fit into the second family carriage compartments but for a second level of pen lockout to be described. Tab 20 of pen b in the first pen family matches up with tabs 34, 50, and 56 in the pens f, h, and i of the second family and thus will fit into the second family carriage compartments but for a second level of pen lockout to be described, and so on.

Therefore, in order to provide a second level of lockout for the first family pens, the carriage stalls for receiving the print/snout of the pen cartridges of second and third pen families are respectively provided with barriers such as a half floor 68 as shown in FIG. 4B and a full floor 78 as shown in FIG. 4C.

receiving the print sheet from the pen carriage. The first family pens are provided with barriers such as full floor 78 as shown in FIG. 4C. In addition, all second family double tab pens 30, 36, 42, 48, 54, 60 are provided with an inset wing 72 so that the inset wing 72 will come into contact with the full floor 78 barrier, thereby locking out at the second level all second family pens from the third family carriage stalls. Since the second family carriage stall 66 has only a half floor 68 barrier, all second family pens will fit into second family stall 66 at the second level because inset wing 72 will bypass half floor 68 barrier.

Finally, the third family of pens needs no second level lockout since all third family pens have triple or quadruple tabs (see FIG. 3C) and therefore are necessarily locked out at the first level from first and second family carriage compartments. So therefore all third family of pens k, l, m, n and p as exemplified in FIG. 2C as 74 have a wing configuration which is "no wing" so that all third family pens will fit into third family stall 76 without any blocking by full floor barrier 78.

It will therefore be appreciated to those skilled in the art that any first family single tab pens that pass through the first level lockout scheme for second and third family pens are locked out from second and third family stalls at the second level. Similarly, any second family double tab pens that pass through the first level lockout scheme for third family pens are locked out from third family stalls at the second level.

Finally, all print cartridges are preferably molded with configurable tabs and configurable wings, such that each cartridge can be key-encoded according to any predetermined lockout scheme. In other words, undesirable wings or tabs are easily broken off, thereby leaving only the tabs associated with a particular pen type, and thereby leaving only the wings associated with a particular pen family. Nevertheless, there is some family grouping that is inherent in the first level lockout scheme in order to achieve the unique aspects of this invention: all first family pens have single tab configurations; all second family pens have double tab configurations; and all third family pens have triple or more tab configurations.

riage when the printer is in use, but provides easy front loading accessibility to the carriage when pen replacement is desired. The carriage is mounted on a rail or slide bar 94 with an encoder strip 92 used to indicate the carriage position as it scans across the media. The printer also includes an input tray 96 for receiving a media stack 98 and an output tray for printed media exiting the printer.

Referring now to FIG. 6, the carriage includes four compartments partitioned by walls 102, 104, 106, 108, and 110. The similar type color pens of cyan, yellow and magenta (112, 114, and 116) are mounted in the first three compartments and the different type black pen 118 is mounted in the fourth foreground compartment. Each of the four pens is secured by top bias spring members 120, 122, 124, 126, respectively. As previously indicated, the various tabs 132, 134, 136, 138, 140, 142, 144, 146 on the rear shoulder surfaces of each of the pens will be received in the corresponding slots in a unitary rear section 148 of the carriage.

FIG 7 shows the underside of the carriage with the printhead/snout stalls and half floor second level lockout barriers, as previously described.

FIG. 8A shows initial insertion of the cartridge that is prevented by the first level lockout, and FIG. 8B shows the final insertion of the cartridge that is prevented by the second level lockout.

It is to be noted that this pen and carriage mechanical lockout design provides the user with immediate tactile and visual feedback. The pen, upon attempted insertion in an incorrect carriage chamber, will be blocked well above the position that a correctly coded pen would stop. This mechanical stop gives the user immediate tactile feedback as to their incorrect pen insertion. Further, since the lockout tabs are located on a surface visible to the user during pen insertion, the user will receive visual feedback if inserting an incorrectly coded pen into a carriage coded for another pen.

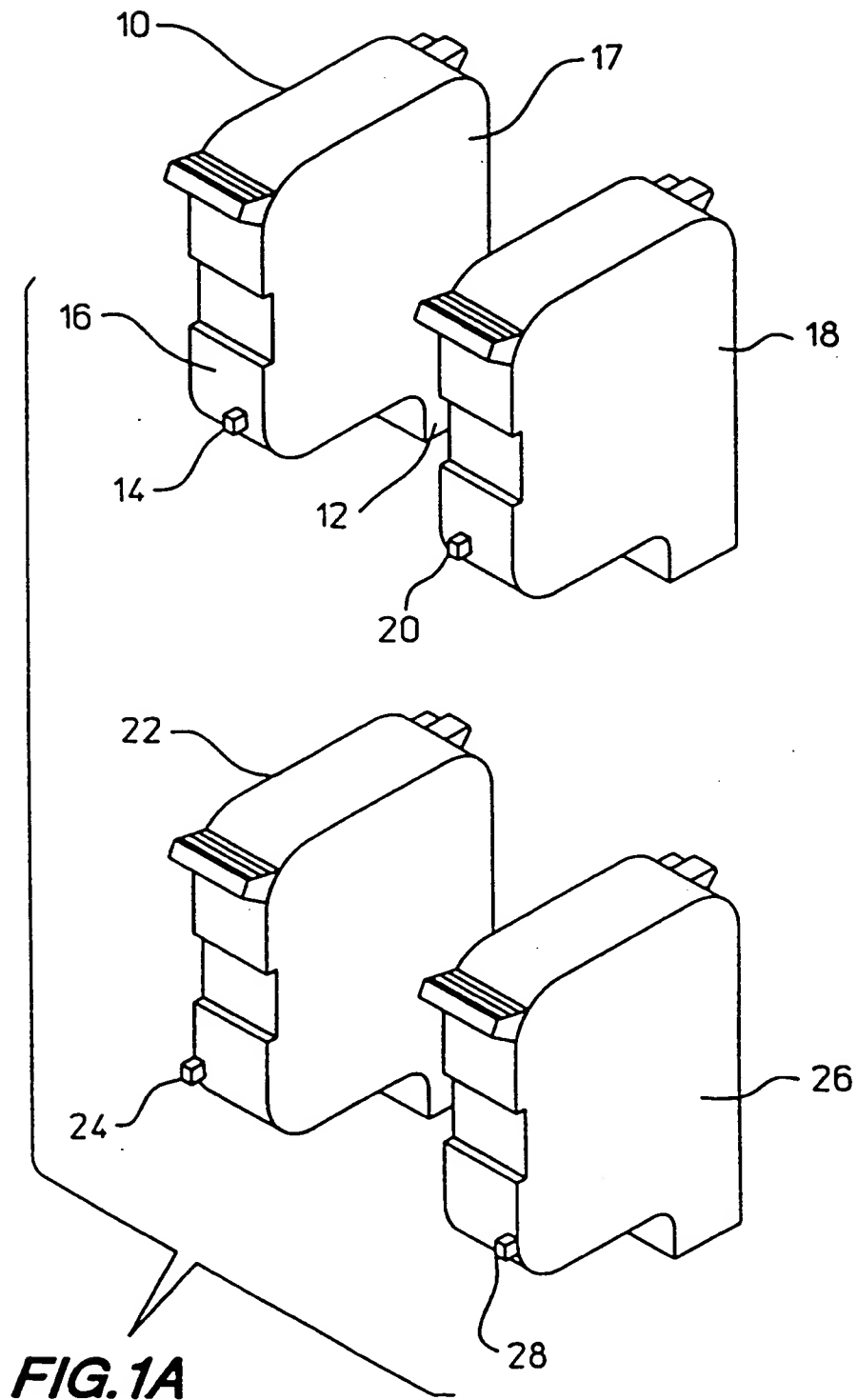
By providing a positive mechanical block to incorrect pen types, full insertion of any incorrect pens is prevented. This system design prevents the full insertion of any incorrect pen type that could

result in failures of the pen and pringer system including the contamination of the printer's service stations with an incompatible ink formulation or colors, electrical shorting of the pen or printer, physical damage to the pen or printer, or degradation of the printer performance.

While particular embodiments of the invention have been shown and described, it will be appreciated by those skilled in the art that various changes and modifications can be made without departing from the spirit and scope of the invention as set forth in the following claims.

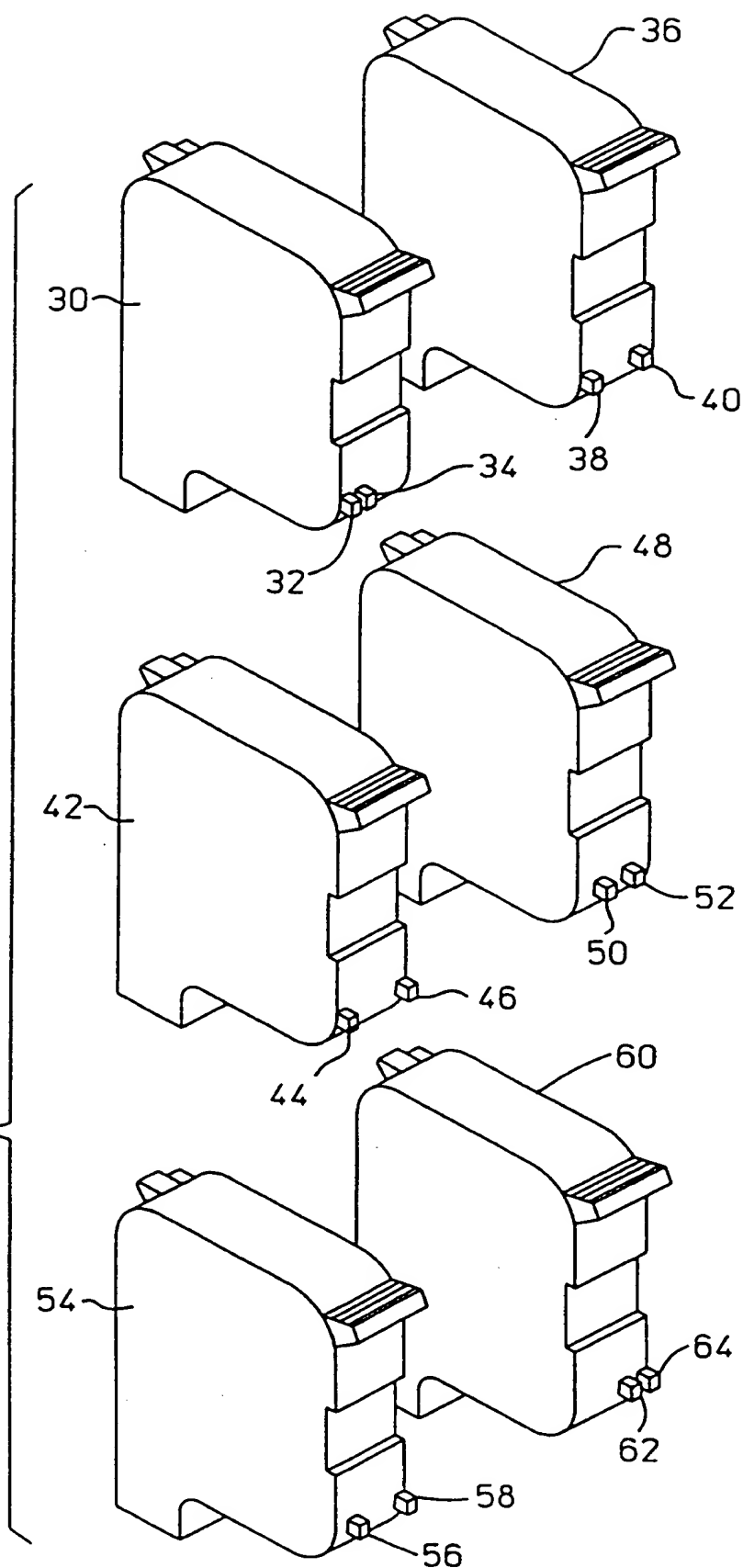
#### Claims

1. A method of preventing incorrect insertion of a pen cartridge (112, 114, 116, 118) into a printer carriage (82) including the steps of
  - providing a first level of lockout for differentiating between individual pens (17, 18, 22, 26); and
  - also providing a second level of lockout for differentiating between one family group of pens (17, 18, 22, 26) and another family group of pens (30, 36, 42, 48, 54, 60).
2. The method of claim 1 wherein said providing step includes positioning one tab in different locations (14, 20, 24, 28) on a first family group of pens (17, 18, 22, 26).
3. The method of claim 2 wherein said providing step includes positioning two tabs in different locations (32, 34, 38, 40, 44, 46, 50, 52, 56, 58, 62, 64) on a second family group of pens (30, 36, 42, 48, 54, 60).
4. The method of claim 1 wherein said also providing step includes using one cartridge wing (70) to identify a first family group and a different cartridge wing (72) to identify a second family group.
5. A pen lockout scheme for removable pen cartridges, comprising:
  - pen cartridges having key-coded means (70, 72) identifying a particular family type of pen; and
  - pen carriage ID means for selectively allowing only certain ones of a particular type of pen to be inserted into the carriage.
6. The scheme of claim 5 wherein said ID means includes prepositioned cartridge tabs (14, 20, 24, 28) which match corresponding carriage slots to allow initial insertion of a pen into the carriage.
7. The scheme of claim 6 wherein said key-coded means includes barrier floors (68, 78) which prevent final insertion of a particular family of pens into the wrong carriage.
8. The scheme of claim 6 wherein said cartridge tabs are displaced from a pen printhead on a surface perpendicular to the printhead.
9. The scheme of claim 7 wherein said key-coded means further includes wing members (70, 72) on a surface parallel to a pen printhead.

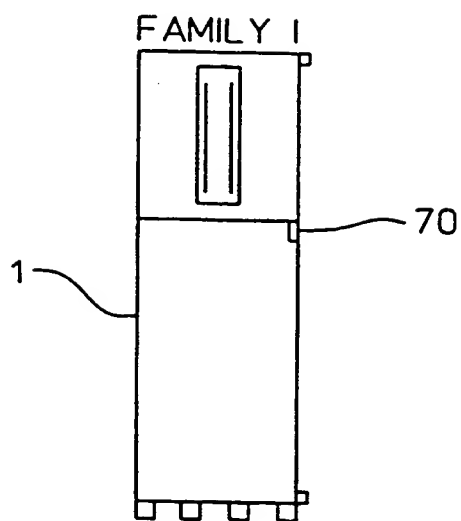




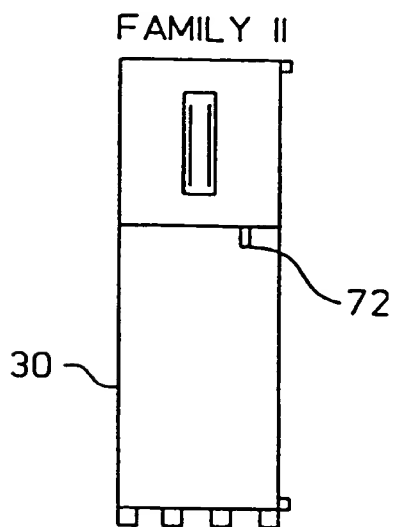
**FIG. 1B**



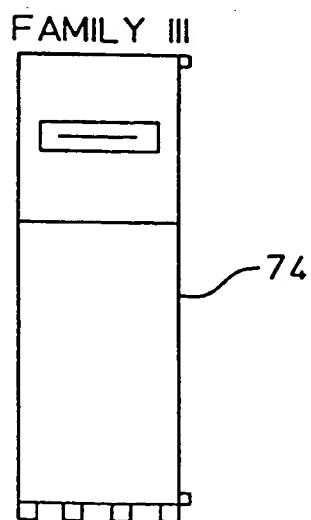
**FIG. 2A**

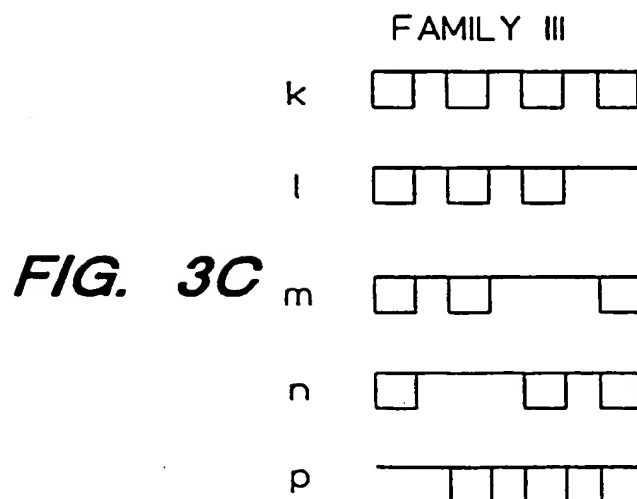
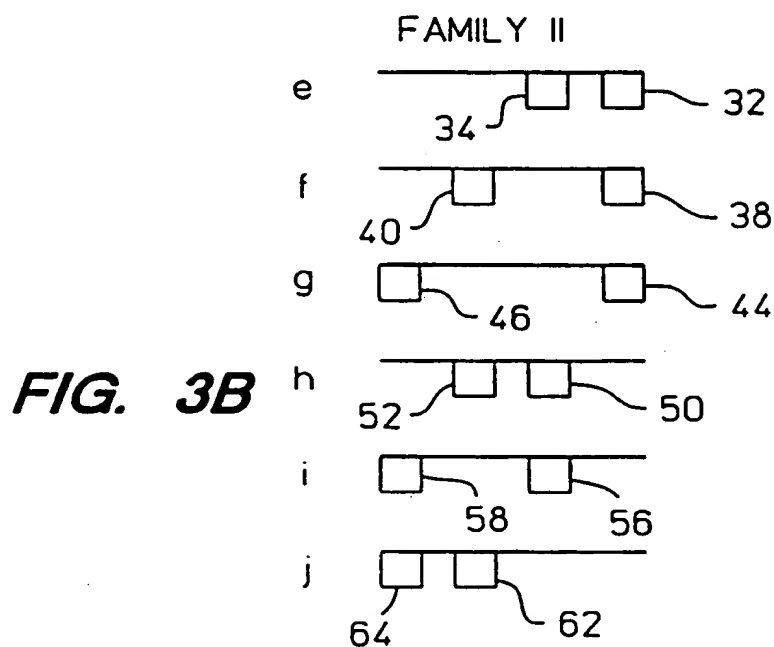
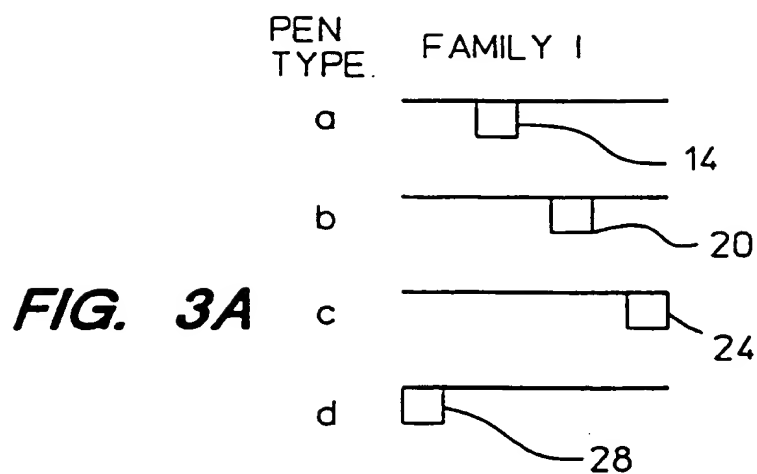


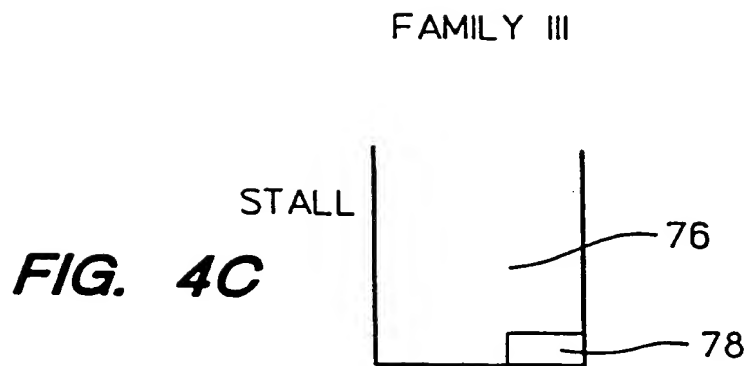
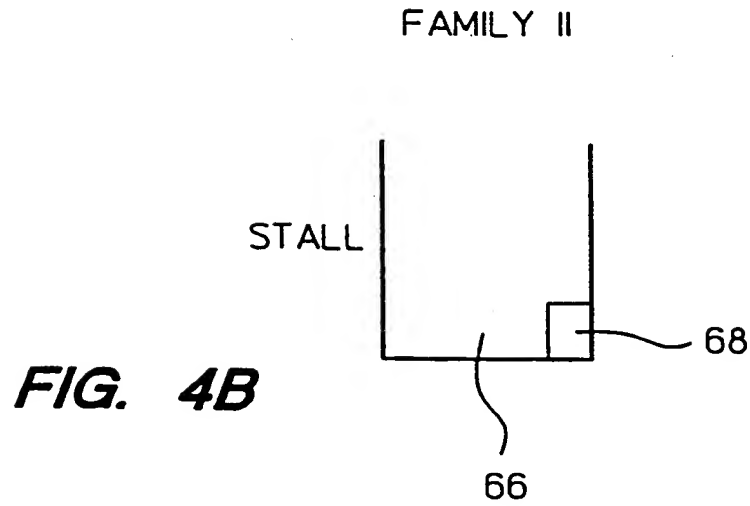
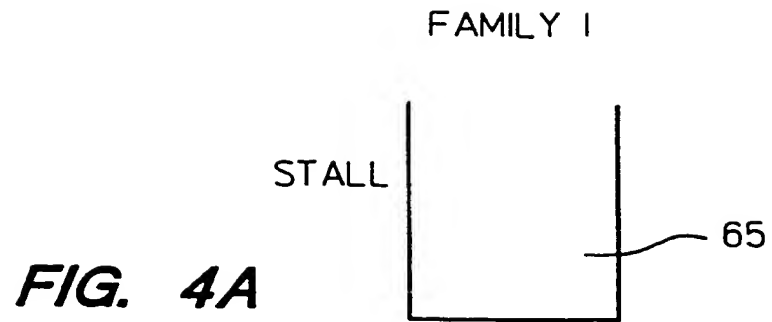
**FIG. 2B**



**FIG. 2C**







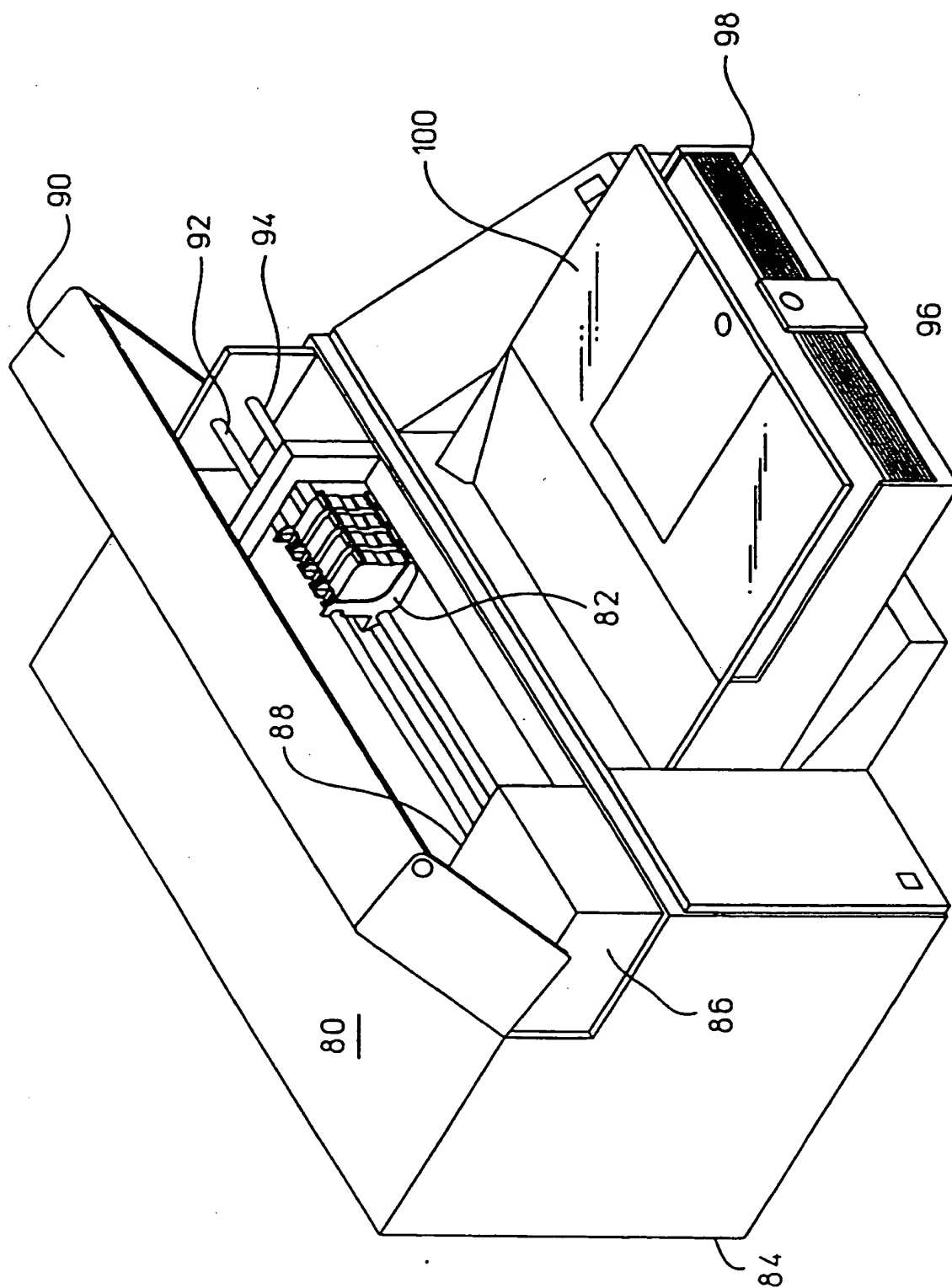
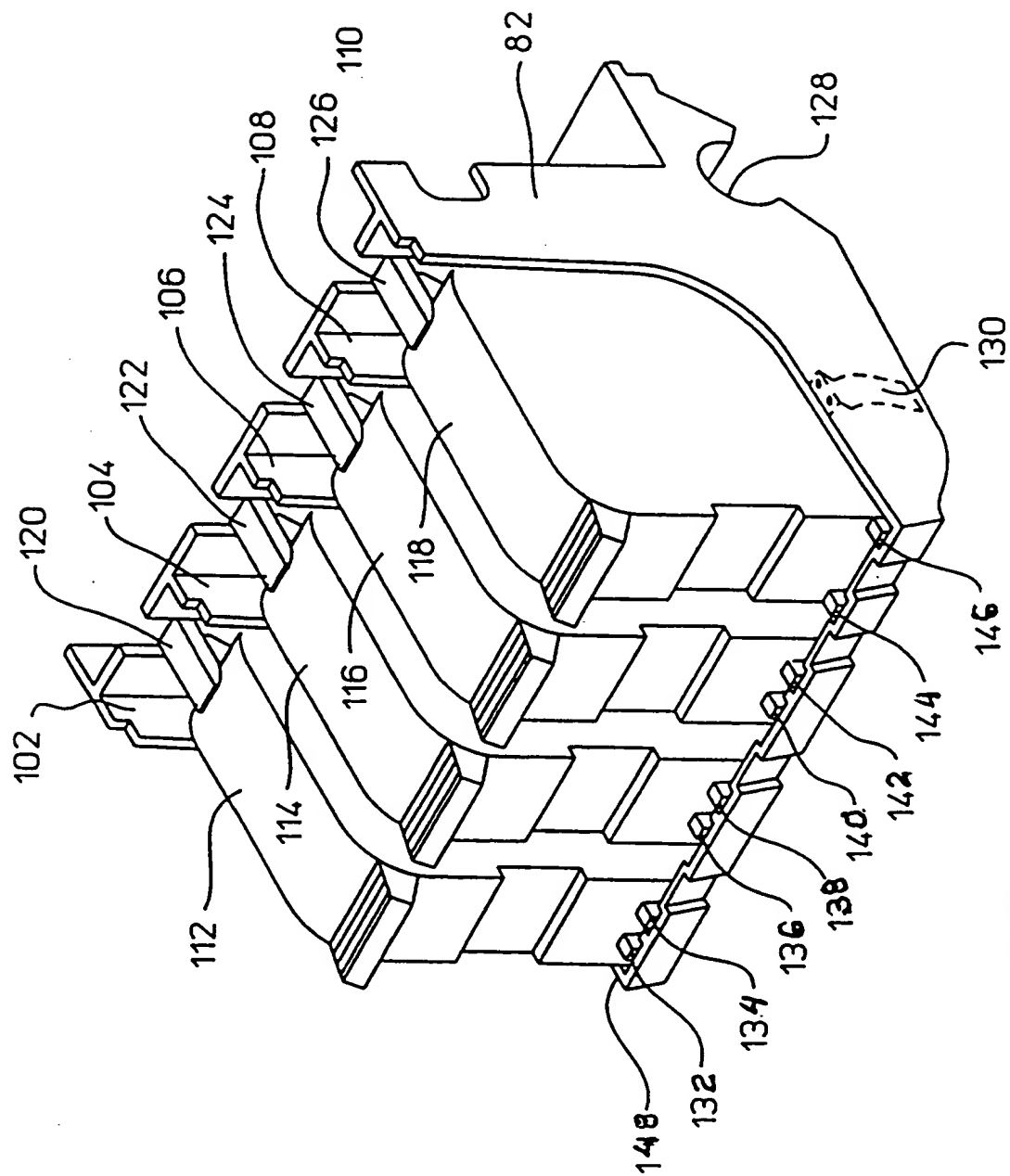
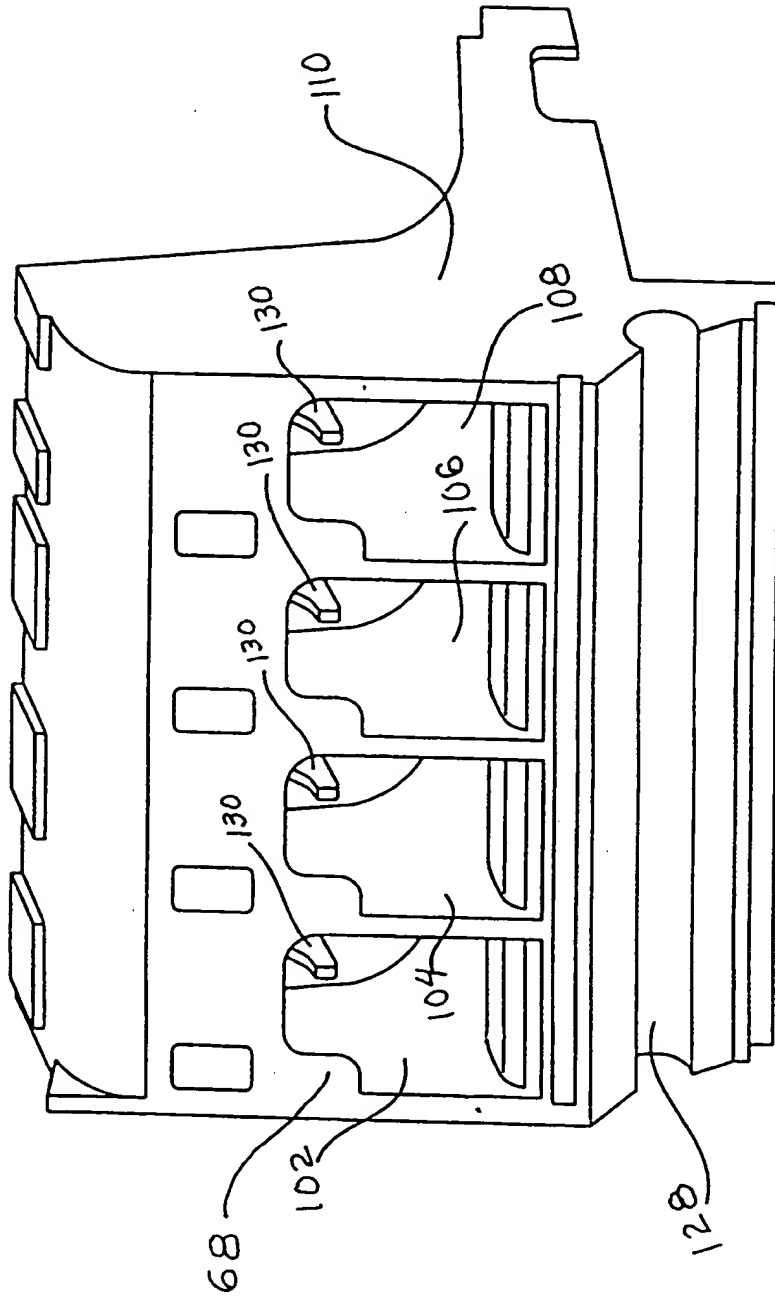


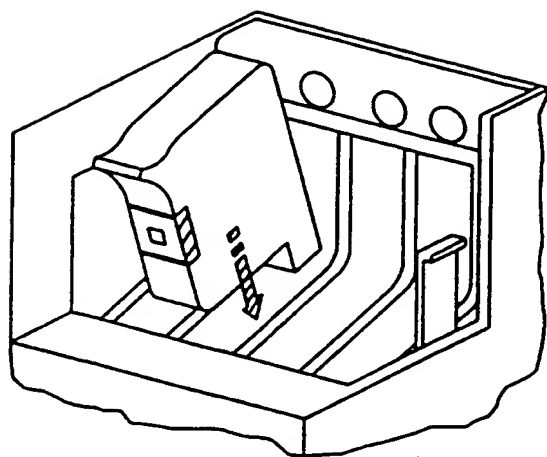
FIG. 5



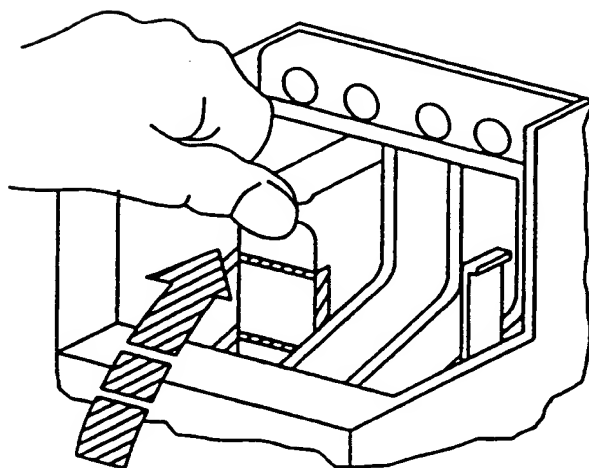
**FIG. 6**



**FIG. 7**



**FIG 8A**



**FIG 8B**